

TO ALL TO WHOM THESE: PRESENTS SHALL COME:

Emergent Genetics, Inc.

**ALCICIS, THERE HAS BEEN PRESENTED TO THE** 

## Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC EPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE HIT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR RITING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE PURPOSE, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT BY THE PLANT VARIETY PROTECTION ACT. (84 STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

#### COTTON

#### 'ST 4646B2R'

In Testimonn Therest, I have hereunto set my hand and caused the seal of the Plant Unriety Hostection Office to be affixed at the City of Washington, D.C. this twenty-fifth day of March, in the year two thousand and five.

Secretary of Agriculture

al m 3

Commissioner
Plant Variety Protection Office
A. M. W. L. V. S.

REPRODUCE LOCALLY. Include form number & date on all repr	oductions.			Form Approved - OMB No. 0581-0055			
U.S. Department of Agriculture Agricultural Marketing Service Science & Technology ' Plant Variety Protection Office			The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C.552a) and the Paperwork Reduction Act (PRA) of 1995.				
APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE (Instructions & Information collection burden statement on reverse)			Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).				
Name of Owner			orary Designation or nental Name	3. Variety Name			
Emergent Genetics, Inc.			TX4646B2R	ST 4646B2R			
4. Address (Street & No., or R.F.D. No., City, State & ZIP Code, a	nd County	5. Teleph	one (include area code)	FOR OFFICIAL USE ONLY PVPO Number			
6625 Lenox Park Drive, Suite 117			01-375-5800	200500010			
Memphis, TN 38115							
USA		90	01-375-5860	Filing Date			
7. If the Owner Named is Not a 'Person', Give Form of Organization association, etc.)	on (corporation, partnership,	9. Date o	f Incorporation				
Corporation		Dec	ember 9, 1999	OCTOBER 29,2004			
Name & Address of Owner Representative(s), to serve in this     (First person listed will receive all papers)	application			I Filing & Examination Fees			
( not possess made via reasons an paperoy				*3.652.00			
Lloyd L. McCall, Ph.D.				Date: 10/20/61/			
6625 Lenox Park Drive,		•		Certification Fee:			
Suite 117				s 432 00			
Memphis, TN 38115				Date: 11106			
11. Telephone (Include area code)	12. Fax (Include a	rea code)	13. E-Mail	14. Crop Kind (common name)			
901-375-5829		5-5860	lmccall@emerg				
			ics.com				
15. Genus & Species Name of Crop		16. Family Name (	(botanical)	17. Is the Variety a First Generation Hybrid?			
Gossypium hirsutum		N	falvaceae	Yes 🛭 No			
18. Check appropriate box for each attachment submitted (follow in	"		wner specify that seed of to on 83(a) of the Plant Variet	his variety be sold as a class of certified seed? v Protection Act			
a. 🔀 Exhibit A: Origin and Breeding History of the Variety	,	, , , , ,	Yes (If 'yes', answer items 20 & 21 No (If 'no', go to item 22)				
b.			below)  20. Does the owner specify that seed of this variety be limited as to number of generations?				
c. 🔯 Exhibit C: Objective Description of Variety  d. 🔯 Exhibit D: Additional Description of the Variety (Opti	Sanati.	20. Does the 0					
M	•		Yes	∐ <i>N</i> o			
e.	•	21. If "Yes" to Item 20, which classes of production beyond breeder seed?					
varieties, verification that tissue culture will be deposited and public repository)	maintained in an approved	☐ Foundation ☐ Registered ☐ Certified					
g. A Filing and Examination Fees (\$2,450), made payable (Mail to the Plant Variety Protection Office)	to "Treasurer of the United States"			<del>-</del>			
Has the variety (including any harvested material) or a hybric sold, disposed of, transferred, or used in the U.S. or other co		Is the variety or any component of the variety protected by intellectual property right (Plant breeder's right or patent)?					
⊠ Yes	□ No		/es	□ No			
If yes, you must provide the date of first sale, disposition, transfer, or use for each country and the country and the circumstances. (Please use space indicated on reverse.)			If yes, please give country, date of filing or issuance, and assigned reference number. (Please use space indicated on reverse.)				
24. The owners declare that a viable sample of basic seed of the applicable, or for a tuber propagated variety a tissue culture	variety will be furnished with applica will be deposited in a public repositor	tion and will be reple y and maintained for	nished upon request in act the duration of the certifica	cordance with such regulations as may be ate.			
The undersigned owner(s) is(are) the owner of this sexually a 42 and is entitled to protection under the provisions of Section	reproduced or tuber propagated plan n 42 of the Plant Variety Protection A	t variety, and believe ct.	(s) that the variety is new,	distinct, uniform, and stable as required in Section			
Owner(s) is(are) informed that false representation herein ca Signature of Owner	л jeopardize protection and result in j	oenalties. Signature of Ow	vnoe				
XXX) ME CIL	()	Signature or OW					
Name (Rease print or type)			rint or type)				
Lloyd McCall			• • •				
Capacity or Title	Date	Capacity or Title		Date			
Director of U.S. Research and	October 28, 2004						
Development							

General: To be effectively filed with the Plant Variety Protection Office (PVPO), ALL of the following items must be received in the PVPO: (1) Completed application form signed by owner; (2) Completed exhibits A, B, C, E; (3) For a seed reproduced variety, at least 2,500 viable untreated seeds; for a hybrid variety, at least 2,500 untreated seeds of each line necessary to reproduce the variety; or for tuber reproduced varieties, verification that a viable (in the sense that it will reproduce an entire plant) tissue culture will be deposited and maintained in an approved public repository; (4) Check drawn on a U.S. Bank for \$2,705 (\$320 filing fee and \$2,385 examination fee) payable to "Treasurer of the United States" (See Section 97.6 of the Regulations and Rules of Practice). Partial applications will be held in the PVPO for not more than 90 days, then returned to the applicant as unfiled. Mail application and other requirements to Plant Variety Protection Office, AMS, USDA, Room 500, NAL Building, 10301 Baltimore Avenue, Beltsville, MD 20705-2351. Retain one copy for your files. All items on the face of the application are self explanatory unless noted below. Corrections on the application form and exhibits must be initialed and dated. DO NOT use masking materials to make corrections. If a certificate is allowed, you will be requested to send a check payable to "Treasurer of the United States" in the amount of \$320 for issuance of the certificate. Certificates will be issued to owner, not licensee or agent.

Plant Variety Protection Office Telephone: (301) 504-5518 FAX: (301) 504-5291

Homepage: http://www.ams.usda.gov/science/pvp.htm

#### ITEM:

- 18a. Give: (1) The genealogy, including public and commercial varieties, lines, or clones used, and the breeding method.
  - (2) The details of subsequent stages of selection and multiplication.
  - (3) Evidence of uniformity and stability; and,
  - (4) The type and frequency of variants during reproduction and multiplication and state how these variants may be identified.
- 18b. Give a summary of the variety's distinctness. Clearly state how this application, variety may be distinguished from all other varieties in the same crop. If the new variety is most similar to one variety or a group of related varieties:
  - (1) Identify these varieties and state all differences objectively.
  - (2) Attached statistical data for characters expressed numerically and demonstrate that these are clear differences, and
  - (3) Submit, if helpful, seed and plant specimens or photographs (prints) of seed and plant comparisons which clearly indicate distinctness.
- 18c. Exhibit C forms are available from the PVPO Office for most crops; specify crop kind. Fill in Exhibit C (Objective Description of Variety) form as completely as possible to describe your variety.
- 18d. Optional additional characteristics and/or photographs. Describe any additional characteristics that cannot be accurately conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc.
- 18e. Section 52(5) of the Act requires applicants to furnish a statement of the basis of the applicant's ownership. An Exhibit E form is available from the PVPO.
- 19. If "Yes" is specified (seed of this variety be sold by variety name only, as a class of certified seed), the applicant MAY NOT reverse this affirmative decision after the variety has been sold and so labeled, the decision published, or the certificate issued. However, if "No" has been specified, the applicant may change the choice (See Regulations and Rules of Practice, Section 97.103).
- 22. See Sections 41, 42, and 43 of the Act and Section 97.5 of the regulations for eligibility requirements.
- 23. See Section 55 of the Act for instructions on claiming the benefit of an earlier filing date.
- 21. CONTINUED FROM FRONT (Please provide a statement as to the limitation and sequence of generations that may be certified.)
- CONTINUED FROM FRONT (Please provide the date of first sale, disposition, transfer, or use for each country and the circumstances, if the
  variety (including any harvested material) or a hybrid produced from this variety has been sold, disposed of, transferred, or used in the U.S. or
  other countries.)

February, 2004

23. CONTINUED FROM FRONT – (Please give the country, date of filing or issuance, and assigned reference number, if the variety or any component of the variety is protected by intellectual property right {Plant Breeder's Right or Patent}.)

USA	5,164,316	5,352,605	5,500,365	5,352,605	5,728,925	6,174,724	5,159,135
	5,196,525	5,359,142	4,940,835	5,633,435	5,804,425	5,880,275	5,004,863
	5,322,938	5,424,200	5,188,642	5,717,084	5,530,196	5,858,742	5,338,544

NOTES: It is the responsibility of the applicant/owner to keep the PVPO informed of any changes of address or change of ownership or assignment or owner's representative during the life of the application/certificate. There is no charge for filling a change of address. The fee for filling a change of ownership or assignment or any modification of owner's name is specified in Section 97.175 of the regulations. (See Section 101 of the Act, and Sections 97.130, 97.131, 97.175(h) of the Regulations and Rules of Practice.)

To avoid conflict with other variety names in use, the applicant must check the appropriate recognized authority. For example, for agricultural and vegetable crops, contact: Seed Branch, AMS, USDA, Room 213, Building 306, Beltsville Agricultural Research Center - East, Beltsville, MD 20705. Telephone: (301) 504-8089. http://www.ams.usda.gov/lsg/seed/is-sd.htm

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0581-0055. The time required to complete this information collection is estimated to average 3.0 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

The U.S. Department of Agriculture (USDA) prohibits discrimination in its programs on the basis of race, color, national origin, sex, religion, age, disability, political beliefs, sexual orientation, or marital or family status. (Not all prohibited bases apply to all programs). Persons with disabilities who require alternative means for communication of program information (Braille, large print, audiotape, etc.) should contact the USDA's TARGET Center at (202) 720-2600 (voice and TDD).

To file a complaint of discrimination, write the USDA Director, Office of Civil Rights, Room 326-W, Whitten Building, 14<sup>th</sup> and Independence Avenue, SW, Washington, D.C. 20250-9410 or call (202) 720-5964 (voice and TDD). USDA is an equal opportunity provider and employer.

# Exhibit A: Origin and Breeding History

## Variety: ST 4646B2R

ST 4646B2R was derived using a backcross breeding method to incorporate Monsanto's Bollgard II® and Roundup Ready® technologies into ST 474. The initial cross was conducted by Monsanto and subsequent backcrosses, trait evaluation, and agronomic evaluations were conducted by Stoneville Pedigreed Seed Company (now known as Emergent Genetics, Inc.)

The initial cross and three backcrosses to incorporate Monsanto's Bollgard II® technology into ST 474 was conducted by Monsanto. In June 2000, the BC3F1, known as 01Q001, was crossed to ST 4793R to incorporate the Roundup Ready® technology with the Bollgard II®. In 2001, BC1F2 bulk seed was grown in a greenhouse in Memphis, TN. Individual plants were screened for the presence of events MON1445, MON531, and MON15985. At maturity, plants positive for all three traits were harvested. Seed from 147 individual plants was planted in progeny rows in South Africa in November 2001. The progeny rows were screened for MON1445, MON531, and MON15985 to confirm homozygosity of the transgenes. At maturity, thirteen homozygous rows were harvested for advancement. Individual plants and a bulk were harvested from each selected row. Seed from the individual plants were grown as progeny rows at Stoneville, MS, and Maricopa, AZ, in May 2002, and evaluated for purity of transgenes while the bulk seed was used to conduct replicated trials for the evaluation of lint yield and fiber traits of the selected rows.

Data collected from the 2002 replicated testing and purity evaluations identified six lines that looked and performed similar to ST 4892BR. The homozygous progeny rows corresponding to the six selected lines were harvested in bulk and kept separate by line. Lines 02SBGIR001, 02SBGIR002, 02SBGIR005, 02SBGIR009, 02SBGIIR010, and 02SBGIR012 were blended in equal proportions to form the experimental variety STX4646B2R.

STX4646B2R was evaluated in replicated trials in 2003 and renamed ST 4646B2R.

## Maintenance of the Variety

The variety will be maintained through pure line maintenance of breeder seed and production of foundation and registered classes of seed.

## Statement of Uniformity and Stability

ST 4646B2R has been evaluated in many environments during 2002 and 2003 across the cotton belt by the staff of Emergent Genetics, Inc. and many public researchers. No off-types were detected and no variants were noted in the variety.

During this two year evaluation period, ST 4646B2R was uniform and stable.

# **Exhibit B: Statement of Distinctness**

Variety: ST 4646B2R

ST 4646B2R has an indeterminate plant growth habit and has a strong, erect central stem. ST 4646B2R is most similar to ST 4892BR; however, it contains Monsanto's Bollgard II® technology whereas ST 4892BR contains Monsanto's Bollgard® technology.

Public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Department of Agriculture, Clearance Officer, OIRM, AG Box 7630, Jamle L. Whitten Building, Washington, D.C. 20250. When replying, refer to OMB N. 0581-0555 and form number in your letter. Under the PRA of 1995, no persons are required to respond to a collection of information unless it displays a <u>valid</u> OMB control number.

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EXHIBIT C (COTTON)

## U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE SCIENCE AND TECHNOLOGY PLANT VARIETY PROTECTION OFFICE BELTSVILLE, MD 20705

## OBJECTIVE DESCRIPTION OF VARIETY COTTON (Gossypium spp.)

NAME OF APPLICANT(S):			TEMPORARY VARIETY NAME:			
Emergent Genetics, Inc.			DESIGNATION STX4646B2I		ST 46	46B2R
ADDRESS (Street and No., or R.F.D. No.	, City, State, & ZIP Coo	de):		FO	ROFFIC	CIAL USE ONLY
6625 Lenox Park Dr., Suite 117 Memphis, TN 38115				45.74	200 200	) 5 0 0 0 1 0
Place the appropriate data that describes including numerical measurements, shoul recognized color fan may be used to dete be measured.	d represent those that rmine plant colors. Ch	are typical : aracters ma	for the variety arked with an	. Royal Horticu asterisk (*) ind	ltural So icate ne	ciety or any cessary characters to
SPECIFIC VARIETIES USED FOR COMF varieties which are adapted to your area.	PARISON AS CHECK One of the comparison	VARIETIES n varieties n	IN THIS APP nust be the m	LICATION: (Us ost similar varie	e standa ty used i	ard regional check in Exhibit B.
Variety 1: ST 4892BR	Variety 2:			Variety 3:		
* 1. SPECIES: X G. hirsutum L. G. barbadense L.				ense L.		
* 2. AREA(S) OF ADAPTATION: (A = Ad	dapted, NA = Not Adap	oted, NT = N	ot Tested)			
X Eastern Plains Other (Specify)	X X	Delta Western	X	Central Arizona	<u>X</u>	Blacklands San Joaquin
3. GENERAL: Characteristics which are I	known to be variable, b	out are still u	seful for a m	eaningful descri	ption of	variety.
Plant Habit: Spreading, Intermediate, Compact	Application Variety Intermediate	Comparis Intermedia	son Variety 1 ate	Comparison Va	ariety 2	Comparison Variety 3
Foliage: Sparse, Intermediate, Dense	Intermediate	Intermedia	ite			
Stem Lodging: Lodging, Intermediate, Erect	Erect	Erect				
Fruiting Branch: Clustered, Short, Normal	Short	Normal				

### 3. GENERAL: (Continued)

	Application Variety	Comparison Variety 1	Comparison Variety 2	Comparison Variety 3
Growth:	Indeterminate	Indeterminate		
Determinate, Intermediate, Indeterminate				• •
Leaf Color:	Light Cropp	Light Cross		
	Light Green	Light Green		
Greenish yellow, Light green, Medium green, Dark green	·		·	
Boll Shape:	Length>Width	Length>Width		
Length less than width, Length equal to	Lengui> widui	Lengar-widar		
width, Length more than width				
Boll Breadth:	Base	Bees		
Broadest at base, Broadest at middle	base	Base	1	
broadest at base, broadest at middle				
*4. MATURITY: (50% Open bolls; Preferred n	nethod; Describe method i	f different method was used	<u> </u> .)	
			,	
Date of 50 % open bolls	9-10	9-10		
5. PLANT:				
		1		
Cm to 1 <sup>st</sup> Fruiting Branch:	14	15		
(from cotyledonary node)				
No. of Nodes to 1 <sup>st</sup> Fruiting Branch:	6	6		
(excluding cotyledonary node)				
Mature Plant Height cm:	81	82		
(from cotyledonary node to terminal)				
* 6. LEAF: Upper most, fully expanded leaf.				
_	1			
Type:	Normal	Normal		
Normal, Sub Okra, Okra, Super Okra				
Pubescence:	Dense	Dense	•	
Absent, Sparse, Medium, Dense <u>OR</u>				
Trichomes/cm <sup>2</sup> (Bottom surface excluding			·	
veins)				
Nectaries:	Present	Present	•	
Present or Absent				
7. STEM PUBESCENCE	1		•	
Otalawaya latawa diata Ilaia	11-1-			
Glabrous, Intermediate, Hairy	Hairy	Hairy		
8. GLANDS: (Gossypol) Absent, Sparse, Nor	mai, More than Normai	1	1	I
Leaf:	Normal	Normal		
Stem:	Normal	Normal		
Calyx Lobe:	Normal	Normal		
(normal is absent)		,		
9. FLOWER:				
Petals:	Cream	Cream	· ·	
Cream, Yellow		1		
Pollen:	Cream	Cream		
Cream, Yellow				
Petal Spot:	Absent	Absent		
Present, Absent	1	1		

10. SEED:	Application Variety	Comparison Variety 1	Comparison Variety 2	Comparison Variety 3
Seed Index: (g/100 seeds, fuzzy basis)	11.0	10.8		
Lint Index: (g lint/100 seeds)	7.9	8.0		
11. BOLL:				
Lint Percent: Picked Pulled				
OR				
Gin Turnout:  x Picked Stripped	.39	.41		
Number of Seeds per Boll:	31	31		
Grams Seed Cotton per Boll:	5.9	5.8		
Number of Locules per Boll:	4	4		
Boll Type: (Stormproof, Storm Resistant, Open)	Open	Open		,
12. Fiber Properties:			1	
Specify Method (HVI or other) HVI				
*Length: (inches, 2.5% SL)	1.13	1.10		
*Uniformity: (%)	83.6	84.0		
*Strength, T1 (g/tex) *Elongation, E1 (%)	27.7 6.3	27.3		
*Micronaire:	4.52	4.75		
Fineness (Source)	7.02	7.10		
Yarn Tenacity: (cN/tex, 27 tex)				
Yarn Strength: (lbs. 22's)				
13. DISEASES: (NT = Not Tested, S = Suscep  NT	S = Susceptible, MS = M	NT	arium Wilt matotrichum Root Rot nium (specify species) coctonia solani thwestern Cotton Rust elayiopsis basicola  = Moderately Resistant, R icillium Wilt	= Resistant tely Resistant,
NT Cutworm (specify species):  R Fall Armyworm Other (specify):	· 		os (specify species) acco Bud Worm	

<sup>15.</sup> COMMENTS: Present any additional information that cannot adequately be described in 1 through 13 which significantly distinguishes your variety.

ST 4646B2R contains Monsanto's Bollgard II® and Roundup Ready® technologies.

The original breeder/owner may be the individual or company who directed the final breeding. See Section 41(a)(2) of the Plant Variety Protection Act for definitions.

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